

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Sterling and Wilson Solar Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE: 542760; NSE: SWSOLAR
3.	Share Holding Pattern Filed under: Reg. 31(1)(b) for quarter ended September 30, 2020
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>

Sterling and Wilson Solar Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	8	12,38,26,180	-	-	12,38,26,180	77.22	12,38,26,180	-	12,38,26,180	77.22	-	77.22	3,20,72,000	25.90	5,97,18,766	48.23	12,38,26,180
(B)	Public	41,085	3,65,33,820	-	-	3,65,33,820	22.78	3,65,33,820	-	3,65,33,820.0	22.78	-	22.78	-	-	NA	NA	3,65,33,820
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C2)	Shares Held By Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total	41,093	16,03,60,000	-	-	16,03,60,000	100.00	16,03,60,000	-	16,03,60,000	100.00	-	100.00	3,20,72,000	20.00	5,97,18,766	37.24	16,03,60,000

Note(s): Please note that pursuant to the provisions of Rule 19(2)(b) of SEBI Securities Contracts (Regulation) Rules, 1957, the Equity Shares of the Company were listed on BSE Limited and National Stock Exchange of India Limited on August 20, 2019 having a post issue capital in excess of four thousand crore rupees. Hence, the Company is in due compliance with the requirement of minimum public shareholding.

Sterling and Wilson Solar Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family	6	14,40,400	-	-	14,40,400	0.90	14,40,400	-	14,40,400	0.90	-	0.90	-	-	-	-	14,40,400
	Cyrus Pallonji Mistry	1	7,20,000	-	-	7,20,000	0.45	7,20,000	-	7,20,000	0.45	-	0.45	-	-	-	-	7,20,000
	Pallon Shapoor Mistry	1	7,20,000	-	-	7,20,000	0.45	7,20,000	-	7,20,000	0.45	-	0.45	-	-	-	-	7,20,000
	Kainaz Khurshed Daruvala	1	200	-	-	200	0.00	200	-	200	0.00	-	0.00	-	-	-	-	200
	Pervin Zarir Madan	1	100	-	-	100	0.00	100	-	100	0.00	-	0.00	-	-	-	-	100
	Zarine Yazdi Daruvala	1	80	-	-	80	0.00	80	-	80	0.00	-	0.00	-	-	-	-	80
	Zenobia Farhad Unwalla	1	20	-	-	20	0.00	20	-	20	0.00	-	0.00	-	-	-	-	20
(b)	Central Government / State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)	1	8,11,10,790	-	-	8,11,10,790	50.58	8,11,10,790	-	8,11,10,790	50.58	-	50.58	2,13,92,024	26.37	5,97,18,766	73.63	8,11,10,790
	Bodies Corporate	1	8,11,10,790	-	-	8,11,10,790	50.58	8,11,10,790	-	8,11,10,790	50.58	-	50.58	2,13,92,024	26.37	5,97,18,766	73.63	8,11,10,790
	Shapoorji Pallonji and Company Private Limited	1	8,11,10,790	-	-	8,11,10,790	50.58	8,11,10,790	-	8,11,10,790	50.58	-	50.58	2,13,92,024	26.37	5,97,18,766	73.63	8,11,10,790
	Sub Total (A)(1)	7	8,25,51,190	-	-	8,25,51,190	51.48	8,25,51,190	-	8,25,51,190	51.48	-	51.48	2,13,92,024	25.91	5,97,18,766	72.34	8,25,51,190
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	1	4,12,74,990	-	-	4,12,74,990	25.74	4,12,74,990	-	4,12,74,990	25.74	-	25.74	1,06,79,976	25.88	-	-	4,12,74,990
	Khurshed Yazdi Daruvala	1	4,12,74,990	-	-	4,12,74,990	25.74	4,12,74,990	-	4,12,74,990	25.74	-	25.74	1,06,79,976	25.88	-	-	4,12,74,990
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)	1	4,12,74,990	-	-	4,12,74,990	25.74	4,12,74,990	-	4,12,74,990	25.74	-	25.74	1,06,79,976	25.88	-	-	4,12,74,990
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	12,38,26,180	-	-	12,38,26,180	77.22	12,38,26,180	-	12,38,26,180	77.22	-	77.22	3,20,72,000	25.90	5,97,18,766	48.23	12,38,26,180

Note(s): Please note that pursuant to the provisions of Rule 19(2)(b) of SEBI Securities Contracts (Regulation) Rules, 1957, the Equity Shares of the Company were listed on BSE Limited and National Stock Exchange of India Limited on August 20, 2019 having a post issue capital in excess of four thousand crore rupees. Hence, the Company is in due compliance with the requirement of minimum public shareholding.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Sterling and Wilson Solar Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	4	48,22,280	-	-	48,22,280	3.01	48,22,280	-	48,22,280	3.01	-	3.01	-	-	NA	NA	48,22,280
	Reliance Capital Trustee Co. Ltd.	1	41,16,090	-	-	41,16,090	2.57	41,16,090	-	41,16,090	2.57	-	2.57	-	-	NA	NA	41,16,090
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds	8	15,74,947	-	-	15,74,947	0.98	15,74,947	-	15,74,947	0.98	-	0.98	-	-	NA	NA	15,74,947
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Foreign Portfolio Investor	32	1,08,31,282	-	-	1,08,31,282	6.75	1,08,31,282	-	1,08,31,282	6.75	-	6.75	-	-	NA	NA	1,08,31,282
	BNP Paribas Arbitrage - ODI	1	21,95,731	-	-	21,95,731	1.37	21,95,731	-	21,95,731	1.37	-	1.37	-	-	NA	NA	21,95,731
	Carmignac Portfolio	1	17,92,474	-	-	17,92,474	1.12	17,92,474	-	17,92,474	1.12	-	1.12	-	-	NA	NA	17,92,474
(f)	Financial Institutions / Banks	1	14	-	-	14	0.00	14	-	14	0.00	-	0.00	-	-	NA	NA	14
(g)	Insurance Companies	1	6,41,022	-	-	6,41,022	0.40	6,41,022	-	6,41,022	0.40	-	0.40	-	-	NA	NA	6,41,022
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(i)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Sub Total (B)(1)	46	1,78,69,545	-	-	1,78,69,545	11.14	1,78,69,545	-	1,78,69,545	11.14	-	11.14	-	-	NA	NA	1,78,69,545
2	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
3	Non-Institutions																	
(a)	Individuals	39,066	1,21,40,167	-	-	1,21,40,167	7.57	1,21,40,167.00	-	1,21,40,167.00	7.57	-	7.57	-	-	-	-	1,21,40,167.00
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	39,062	1,09,45,265	-	-	1,09,45,265	6.83	1,09,45,265	-	1,09,45,265	6.83	-	6.83	-	-	NA	NA	1,09,45,265
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	11,94,902	-	-	11,94,902	0.75	11,94,902	-	11,94,902	0.75	-	0.75	-	-	NA	NA	11,94,902
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Trust Employee	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Overseas Depositories(holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-

Sterling and Wilson Solar Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(e) Any Other (Specify)	1,973	65,24,108	-	-	65,24,108	4.07	65,24,108	-	65,24,108	4.07	-	4.07	-	-	NA	NA	65,24,108
Hindu Undivided Family	1,072	9,10,238	-	-	9,10,238	0.57	9,10,238	-	9,10,238	0.57	-	0.57	-	-	NA	NA	9,10,238
Non Resident Indians (Non Repat)	182	2,77,634	-	-	2,77,634	0.17	2,77,634	-	2,77,634	0.17	-	0.17	-	-	NA	NA	2,77,634
Non Resident Indians (Repat)	378	5,23,854	-	-	5,23,854	0.33	5,23,854	-	5,23,854	0.33	-	0.33	-	-	NA	NA	5,23,854
Foreign Portfolio Investor (Individual)	1	700	-	-	700	0.00	700	-	700	0.00	-	0.00	-	-	NA	NA	700
Clearing Member	118	3,00,147	-	-	3,00,147	0.19	3,00,147	-	3,00,147	0.19	-	0.19	-	-	NA	NA	3,00,147
Bodies Corporate	222	45,11,535	-	-	45,11,535	2.81	45,11,535	-	45,11,535	2.81	-	2.81	-	-	NA	NA	45,11,535
Globe Capital Market Ltd	1	16,51,369	-	-	16,51,369	1.03	16,51,369	-	16,51,369	1.03	-	1.03	-	-	NA	NA	16,51,369
Sub Total (B)(3)	41,039	1,86,64,275	-	-	1,86,64,275	11.64	1,86,64,275	-	1,86,64,275	11.64	-	11.64	-	-	NA	NA	1,86,64,275
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	41,085	3,65,33,820	-	-	3,65,33,820	22.78	3,65,33,820	-	3,65,33,820	22.78	-	22.78	-	-	NA	NA	3,65,33,820

Note(s): Please note that pursuant to the provisions of Rule 19(2)(b) of SEBI Securities Contracts (Regulation) Rules, 1957, the Equity Shares of the Company were listed on BSE Limited and National Stock Exchange of India Limited on August 20, 2019 having a post issue capital in excess of four thousand crore rupees. Hence, the Company is in due compliance with the requirement of minimum public shareholding.

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Sterling and Wilson Solar Limited

Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the significant beneficial owner (SBO) (I)		Details of registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect: (III)		Date of creation/ acquisition of significant beneficial interest (IV)
	Name	Nationality	Name	Nationality	Whether by virtue of:		
					Shares	___ %	
					Voting rights	___ %	
					Rights on distributable dividend or any other distribution	___ %	
					Exercise of control		
					Exercise of significant influence		
1	Shapoor Pallonji Mistry	Irish	Shapoorji Pallonji and Company Private Limited	Indian	4,05,55,395	25.29	March 31, 2018
2	Cyrus Pallonji Mistry	Irish	Shapoorji Pallonji and Company Private Limited	Indian	4,05,55,395	25.29	March 31, 2018